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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mark E Deem, et. al
Serial No. : 10/687,081
Filed : October 15, 2003
Conf. No. : 9093
Title : METHODS AND DEVICES FOR PERFORMING PULMONARY DEVICES

Art Unit : 3761
Examiner : Unknown

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

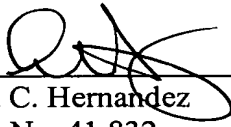
TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, Form PTO-1449, and return postcard for filing in connection with the above-captioned patent application.

☒ The Commissioner is hereby authorized to charge the fee for the extension of time and any other fee that may be due in connection with this and the attached papers or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

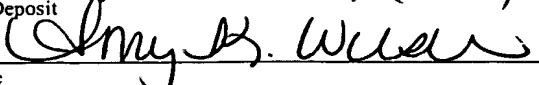
Respectfully submitted,


Fred C. Hernandez
Reg. No. 41,832

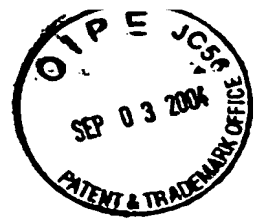
Attorney Docket No. 17075-004011 / 0103K
Address all correspondence to:
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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

September 1, 2004
Date of Deposit

Signature

Amy K. Wilson
Typed or Printed Name of Person Signing Certificate



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN
ACCORDANCE WITH 37 C.F.R. §§1.97-1.98**

Dear Sir:

Because this Supplemental Information Disclosure Statement is filed before the receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) is provided herewith in connection with the above-captioned application.

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

Signature

Amy K. Wilson
Typed or Printed Name of Person Signing Certificate

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Page : 2 of 2

Attorney's Docket No.: 17075-004011 / 0103K

Further to the list provided in the communication filed on December 5, 2003,
Applicant also makes known to the Examiner the following pending U.S. and International
Applications that have one or more common inventors and/or are commonly owned:

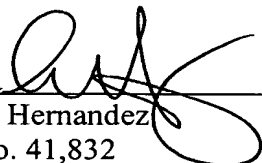
<u>U.S.S.N.</u>	<u>Filing Date</u>	<u>Status</u>	<u>Docket No.</u>
Awaiting	07/08/04	Pending	020001 (0124)
10/723,273	11/25/03	Pending	021001 (0125)

<u>Int'l App. No.</u>	<u>Filing Date</u>	<u>Status</u>	<u>Docket No.</u>
Awaiting	07/08/04	Pending	020WO1 (0124PC)
PCT/US03/37890	11/25/03	Pending	021WO1 (0125PC)

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application. In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted,

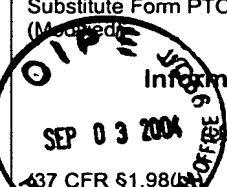


Fred C. Hernandez
Reg. No. 41,832

Attorney Docket No. 17075-004011 / 0103K

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Email: fhernandez@fr.com

Substitute Form PTO-1449 (Modified)  Information Disclosure Statement by Applicant (Use several sheets if necessary) 37 CFR §1.98(h)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17075-004011/103K	Application No. 10/687,081
	Applicant Mark E Deem, et. al		
	Filing Date October 15, 2003	Group Art Unit 3761	

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2004-0016435 A1	01/29/04	Deem et al.	128	207.14	07/29/03
	AB	2003-0192551 A1	10/16/03	Deem et al.	128	207.14	04/18/03
	AC	2003-0192550 A1	10/16/03	Deem et al.	128	207.14	04/18/03
	AD	2003-0075170 A1	04/24/03	Deem et al.	128	200.19	11/22/02
	AE	2003-0075169 A1	04/24/03	Deem et al.	128	200.19	11/22/02
	AF	2003-0070683 A1	04/17/03	Deem et al.	128	207.16	11/22/02
	AG	6,699,231	03/02/04	Sterman et al.	604	509	12/30/98
	AH	6,694,979 B2	02/24/04	Deem et al.	128	207.14	03/02/01
	AI	6,679,264 B1	01/20/04	Deem et al.	128	207.16	03/04/00
	AJ	6,592,594 B2	07/15/03	Rimbaugh et al.	606	108	10/25/01
	AK	6,558,318 B1	05/06/03	Daniel et al.	600	213	11/01/99
	AL	6,190,381 B1	02/20/01	Olsen et al.	606	32	01/21/98
	AM	5,980,455	11/09/90	Daniel et al.	600	235	07/15/97
	AN	5,803,080	09/08/98	Freitag	128	207.14	12/20/96
	AO	5,645,519	07/08/97	Lee et al.	600	114	03/18/94
	AP	5,453,090	09/26/95	Martinez et al.	604	53	03/01/94
	AQ	5,158,548	10/27/92	Lau et al.	604	96	07/24/91

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AR							
	AS							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AT	
	AU	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	